

S/N 10/081,170



COPY OF PAPERS  
ORIGINALLY FILED

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Yoshihiro Kawaoka	Examiner:	
Serial No.:	10/081,170	Group Art Unit:	1632
Filed:	February 22, 2002	Docket:	800.029US1
Title:	METHODS TO IDENTIFY MUTANT CELLS WITH ALTERED SIALIC ACID		

COMMUNICATION RE: MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$699.00 to cover the small entity basic filing fee and additional claims fee.

Applicant assumes the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

BEST AVAILABLE COPY

YOSHIHIRO KAWAOKA

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6959

Date June 6, 2002

By Janet E. Embretson  
Janet E. Embretson  
Reg. No. 39,665  
JEE:CMG:sc

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 6 day of June, 2002.

Seatanathie Greg  
Name

Seatanathie Greg  
Signature

S/N 10/081,170

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ORIGINALLY FILED **PATENT**

JUN 14 2002

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Applicant: Yoshihiro Kawaoka

Examiner:

Serial No.: 10/081,170

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Docket: 800.029US1

Title: METHODS TO IDENTIFY MUTANT CELLS WITH ALTERED SIALIC ACID

**COMMUNICATION RE: CORRECTED APPLICATION PAPERS**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" which indicated "Corrected Papers" additionally required, enclosed are two pages of the Sequence Listing.

Applicant assumes the application is now in proper order and in condition for examination.  
Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

YOSHIHIRO KAWAOKA

By his Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. Box 2938  
Minneapolis, MN 55402  
(612) 373-6959

Date

June 6, 2002

By

Janet E. Embretson

Janet E. Embretson

Reg. No. 39,665

JEE:CMG:sc

**BEST AVAILABLE COPY**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231,

on June 6, 2002

Date of Deposit

Seata Mattie Creg

Seata Mattie Creg

UNITED STATES PATENT AND TRADEMARK OFFICE

COPY OF PAPERS  
ORIGINALLY FILED

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/081,170	02/22/2002	Yoshihiro Kawaka	800.029US1

21186  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
P.O. BOX 2938  
MINNEAPOLIS, MN 55402

CONFIRMATION NO. 8446

FORMALITIES LETTER



\*OC000000007897809\*

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Date Mailed: 04/18/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/19/2002 BABRAHA1 00000060 10081170

FILED UNDER 37 CFR 1.53(b)

01 FC:201 370.00 OP  
02 FC:205 65.00 OP  
03 FC:203 99.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$99.  
■ \$99 for 11 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 534.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Repln. Ref: 06/19/2002 BABRAHA1 0018555300  
DAH:190743 Name/Number:10081170  
FC: 704 \$65.00 CR

Repln. Ref: 06/19/2002 BABRAHA1 0018555300  
DAH:190743 Name/Number:10081170  
FC: 704 \$155.00 CR

For questions regarding compliance to these requirements, please contact:

07/31/2002 MINARKOL 00000010 190743 10081170  
01 FC:203 45.00 CH  
02 FC:205 140.00 CH